

## §4-3 & §4-5 1<sup>st</sup> and 2<sup>nd</sup> Derivatives and Curve Sketching

\*  $f'$ ,  $f''$  和  $f$  的圖形關係：

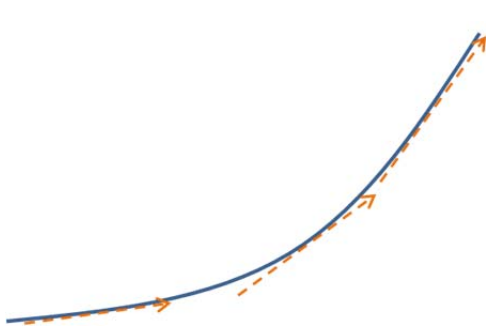
一、

1.  $f'(x) > 0$  on  $(a, b) \Rightarrow f$  is increasing on  $(a, b)$ .

2.  $f'(x) < 0$  on  $(a, b) \Rightarrow f$  is decreasing on  $(a, b)$ .

二、

1.



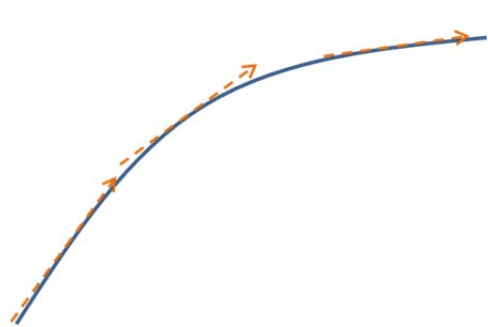
concave up (C.U.) on  $(a, b)$

$\Leftrightarrow$  圖形在切線上方.

$f'$  is increasing on  $(a, b)$

$\Leftrightarrow f''(x) > 0$  on  $(a, b)$ .

2.



concave down (C.D.) on  $(a, b)$

$\Leftrightarrow$  圖形在切線下方.

$f'$  is decreasing on  $(a, b)$

$\Leftrightarrow f''(x) < 0$  on  $(a, b)$ .

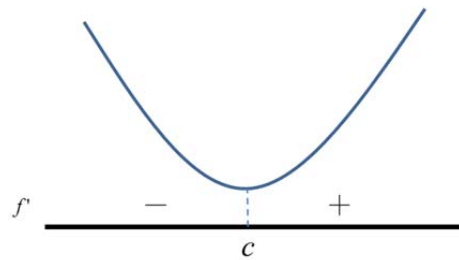
\* 註記：

完整的證明可由 2 階 Taylor's 展開式來證明。

三、 Inflection point : the point at which concavity is reversed.(反曲點)

四、1<sup>st</sup> Derivative Test :

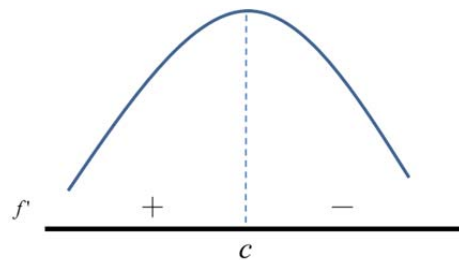
1.



$f' = 0 \Rightarrow f(c)$  is local an min.

**\* $c$  is a critical point.**

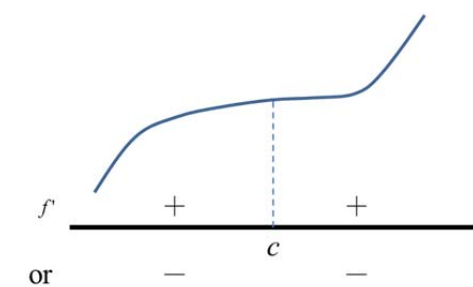
2.



$f' = 0 \Rightarrow f(c)$  is local an max.

**\* $c$  is a critical point.**

3.



$f(c)$  is neither an min nor an max.

五、2<sup>nd</sup> Derivative Test :

$c$  : critical point

1.  $f''(c) > 0 \Rightarrow f(c)$  : local min ( $\because$  在  $c$  的附近,  $f$  is C.U.)

2.  $f''(c) < 0 \Rightarrow f(c)$  : local max ( $\because$  在  $c$  的附近,  $f$  is C.D.)

3.  $f''(c) = 0 \Rightarrow$  The test fails.

For example :  $f(x) = x^3, f''(0) = 0 \Rightarrow f(0)$  is neither a min nor a max.

六、Curve Sketching :

1. Intercepts
2. Symmetry
3. Asymptotes
4. Local extrema
5. Inflection points

**Example 1** : Sketch the function  $f(x) = 2 - 15x + 9x^2 - x^3$ .

**Solution** :

$$f(x) = 2 - 15x + 9x^2 - x^3 = -(x-2)(x^2 - 7x + 1)$$

$$f'(x) = -15 + 18x - 3x^2 = -3(x-5)(x-1)$$

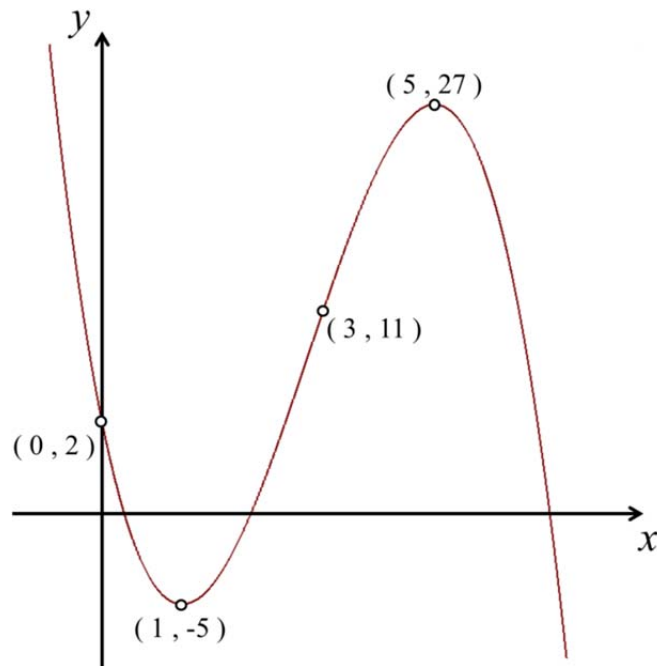
\*Critical points :  $x = 1$  and  $5$ .

$$f' \quad \begin{array}{c} - \quad \quad \quad + \quad \quad \quad - \\ \hline \quad \quad \quad | \quad \quad \quad | \quad \quad \quad \\ \quad \quad \quad 1 \quad \quad \quad 5 \quad \quad \quad \end{array}$$

$$f''(x) = 18 - 6x = -6(x-3)$$

$$f'' \quad \begin{array}{c} \quad \quad \quad + \quad \quad \quad - \\ \hline \quad \quad \quad | \quad \quad \quad \\ \quad \quad \quad 3 \quad \quad \quad \end{array}$$

$x$	0	1	2	3	5
$y$	2	-5	0	11	27

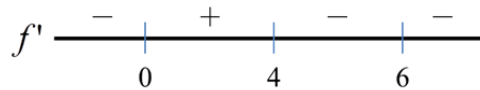


**Example 2 :** Sketch the function  $f(x) = x^{\frac{2}{3}}(6-x)^{\frac{1}{3}}$ .

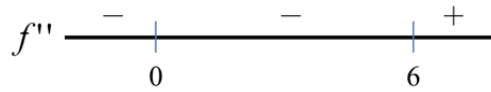
**Solution :**

$$\begin{aligned} f'(x) &= \frac{2}{3}x^{-\frac{1}{3}}(6-x)^{\frac{1}{3}} - \frac{1}{3}x^{\frac{2}{3}}(6-x)^{-\frac{2}{3}} \\ &= \frac{1}{3}x^{-\frac{1}{3}}(6-x)^{-\frac{2}{3}}(2(6-x)-x) \\ &= x^{-\frac{1}{3}}(6-x)^{-\frac{2}{3}}(4-x) \end{aligned}$$

\* Critical points :  $x = 0, 4$  and  $6$ .



$$\begin{aligned} f''(x) &= -\frac{1}{3}x^{-\frac{4}{3}}(6-x)^{-\frac{2}{3}}(4-x) + \frac{2}{3}x^{-\frac{1}{3}}(6-x)^{-\frac{5}{3}}(4-x) - x^{-\frac{1}{3}}(6-x)^{-\frac{2}{3}} \\ &= \frac{1}{3}x^{-\frac{4}{3}}(6-x)^{-\frac{5}{3}}[-(6-x)(4-x) + 2x(4-x) - 3x(6-x)] \\ &= \frac{-8}{x^{\frac{4}{3}}(6-x)^{\frac{5}{3}}} \end{aligned}$$

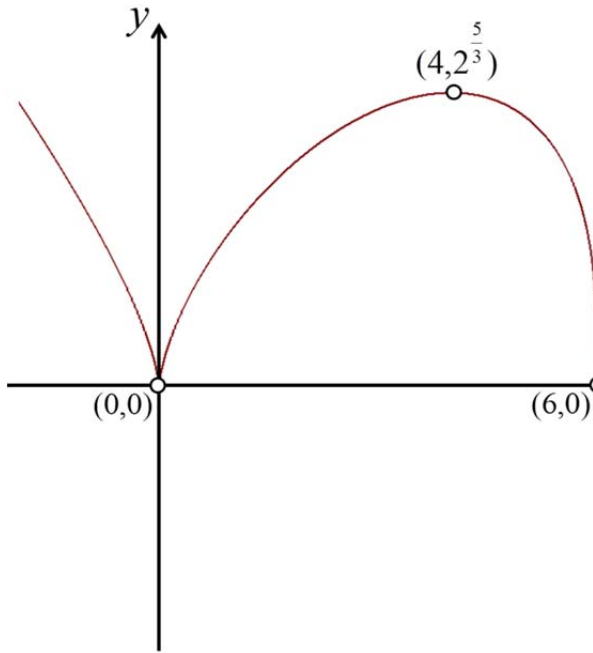


$x$	0	4	6
$y$	0	$2^{\frac{5}{3}}$	0

$\Rightarrow f(0) = 0$  is a local min.

$f(4) = 2^{\frac{5}{3}}$  is a local max.

$(6, 0)$  is an inflection point.

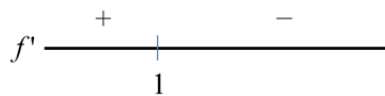


**Example 3 :** Sketch the function  $f(x) = xe^{-x}$ .

**Solution :**

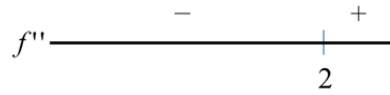
$$\begin{aligned} f'(x) &= e^{-x} - xe^{-x} \\ &= e^{-x}(1-x) \end{aligned}$$

\*Critical point :  $x = 1$ .



$$f''(x) = -e^{-x}(1-x) - e^{-x}$$

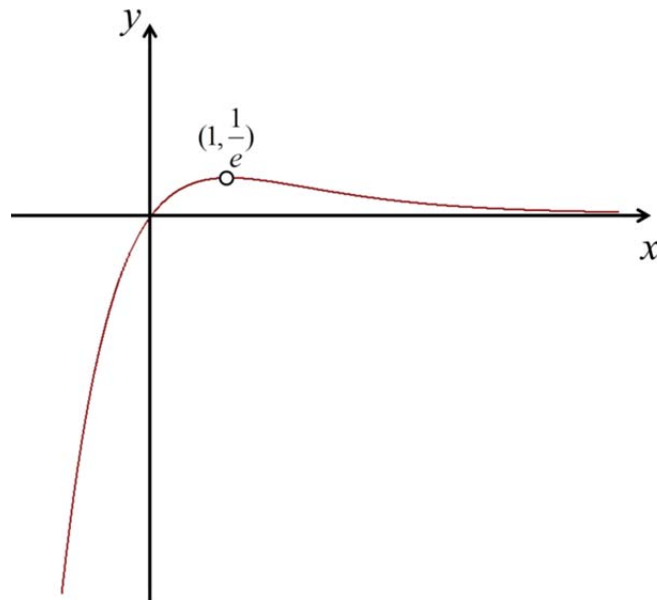
$$= -e^{-x}(2-x)$$



$\Rightarrow f(1) = \frac{1}{e}$  is local max.

$(2, \frac{2}{e^2})$  is an inflection point.

$x$	1	2
$y$	$\frac{1}{e}$	$\frac{2}{e^2}$



**Example 4 :** Sketch the function  $f(x) = \frac{x^3}{x^2+1}$

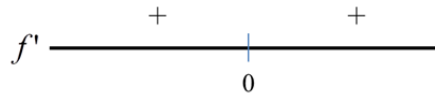
**Solution :**

$$y = f(x) = \frac{x^3}{x^2+1} = x - \frac{x}{x^2+1}$$

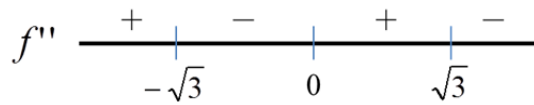
$\Rightarrow$  Slant asymptotic :  $y = x$ .

$$f'(x) = \frac{x^2(x^2+3)}{(x^2+1)^2}$$

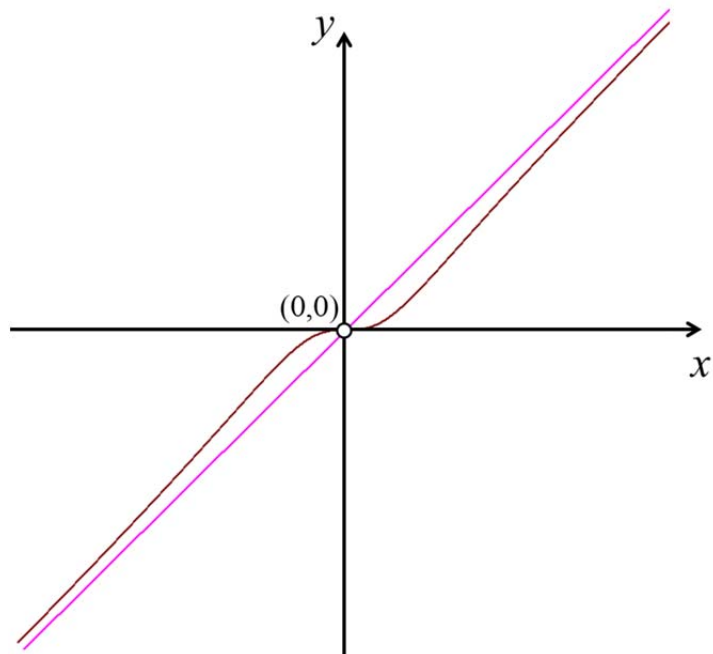
\*Critical point :  $x = 0$ .



$$f''(x) = \frac{-2x(x^2-3)(x^2+1)}{(x^2+1)^4}$$



$x$	$-\sqrt{3}$	$0$	$\sqrt{3}$
$y$	$\frac{3\sqrt{3}}{4}$	$0$	$-\frac{3\sqrt{3}}{4}$



**Example 5 :** For what values of  $c$  is the function  $f(x) = cx + \frac{1}{x^2 + 3}$  increasing on  $(-\infty, \infty)$ ?

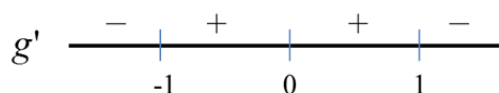
**Solution :**

$$f'(x) = c - \frac{2x}{(x^2 + 3)^2} = \frac{c(x^2 + 3)^2 - 2x}{(x^2 + 3)^2}$$

Hope : find  $c > 0$  s.t.  $c(x^2 + 3)^2 - 2x > 0 \Leftrightarrow c - \frac{2x}{(x^2 + 3)^2} > 0$

Let  $g(x) = \frac{2x}{(x^2 + 3)^2} \Rightarrow g'(x) = \frac{-6(x^2 - 1)}{(x^2 + 3)^3}$

因為  $g$  對稱於原點， $\lim_{x \rightarrow \infty} g(x) = 0$ ，且



$\Rightarrow$  the max of  $g$  is  $g(1) = \frac{1}{8}$ .

$\Rightarrow$  If  $c > \frac{1}{8}$ , then  $c(x^2 + 3)^2 - 2x > 0$  for all  $x \in (-\infty, \infty)$ .

$\Rightarrow f'(x) > 0$  for all  $x \in (-\infty, \infty)$ .

\* 討論 : 1. What happens when  $c = \frac{1}{8}$  ?

2. What happens when  $c < \frac{1}{8}$  ?